

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Moskowitz et al. : Date: December 10, 2001

Serial Number: 09/990,842 : Group Art Unit: Unknown

Filed: 11/21/2001 : Examiner: Unknown

Title: SECURE METHOD AND SYSTEM : IBM CORPORATION
FOR DETERMINING CHARGES Intellectual Property Law
AND ASSURING PRIVACY : MD90/201-1
8501 IBM Drive
: Charlotte, NC 28262

INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97

Commissioner For Patents
Washington, D. C. 20231

Dear Sir:

The attached Form PTO-1449, and any attached foreign patent office search report, provides a listing of information which may be relevant to the subject application. This IDS is not intended as a representation that better art is not available, nor that the information provided is prior art.

This IDS is submitted under:

X 37 CFR 1.97(b) - No Fee.

37 CFR 1.97(c) - No Fee. Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application. This communication is dated _____, which is not more than 3 months prior to the mailing of this statement.

37 CFR 1.97(c) - Fee.

37 CFR 1.97(d) - Fee, Certification & Petition.

The Commissioner is authorized to charge payments of any associated fees required under 37 CFR 1.17(p) and (i) (1) to Deposit Account No. 09-0469 (IBM).

Respectfully submitted,

By: 

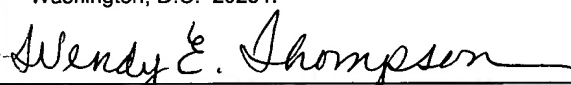
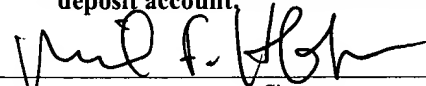
Michael F. Hoffman

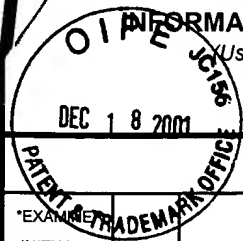
Registration No.: 40,019

Telephone No.: (518) 449-0044

0320 17

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. CHA920010021US1	
In Re Application Of: Moskowitz et al.				
Serial No. 09/990,842	Filing Date 11/21/2001	Examiner Unknown	Group Art Unit Unknown	
Title: SECURE METHOD AND SYSTEM FOR DETERMINING CHARGES AND ASSURING PRIVACY				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
37 CFR 1.97(b)				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
37 CFR 1.97(c)				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
OR				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. CHA920010021US1	
In Re Application: Moskowitz et al.				
Serial No. 09/990,842	Filing Date 11/21/2001	Examiner Unknown	Group Art Unit Unknown	
SECURE METHOD AND SYSTEM FOR DETERMINING CHARGES AND ASSURING PRIVACY				
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))				
<input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0469 (IBM) as described below. A duplicate copy of this sheet is enclosed.				
<input type="checkbox"/> Charge the amount of _____ <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.				
Certificate of Transmission by Facsimile*		Certificate of Mailing by First Class Mail		
I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F		I certify that this document and fee is being deposited on 12/10/2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.		
_____ (Date)		 Signature of Person Mailing Correspondence		
_____ Signature		Wendy E. Thompson Typed or Printed Name of Person Mailing Certificate		
_____ Typed or Printed Name of Person Signing Certificate				
<p>*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">  _____ Signature Michael F. Hoffman - Reg. No. 40,019 Hoffman, Warnick & D'Alessandro LLC Three E-Comm Square Albany, New York 12207 (518) 449-0044 Telephone (518) 449-0047 Fax </div> <div style="width: 45%; text-align: right;"> Dated: December 10, 2001 </div> </div>				
CC:				

**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

ATTY DOCKET NO.

CHA920010021US1

SERIAL NO.

09/990,842

Applicant(s): Moskowitz et al.

FILING

11/21/2001

GROUP

Unknown

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US2001/0039509 A1	11/2001	Dar et al.			
	US2001/0005217 A1	6/2001	Hamilton et al.			
	US 6,211,907 B1	4/2001	Scaman et al.			
	6,163,277	12/2000	Gehlot			
	6,141,610	10/2000	Rothert et al.			
	6,087,963	7/2000	Kobayashi et al.			
	6,064,970	5/2000	McMillan et al.			
	5,825,283	10/1998	Camhi			
	5,797,134	8/1998	McMillan et al.			
	5,548,273	8/1996	Nicol et al.			
	5,499,182	3/1996	Ousborne			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO9921112	4/1999	WO				
	JP2001076035	3/2001	JP				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,843,578	6/1989	Wade			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

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